

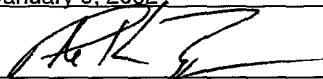
"I hereby certify that this correspondence is being filed by depositing it with the United States Postal Service as first class mail in a PTO envelope with sufficient postage and addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231 on the date indicated below.

PATENT

Paper No. 4

File: Schutz-P1-00

Date: January 9, 2002

Signed:   
Peter K. Trzyna (Reg. No. 32,601)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Inventor : Jared P. Schutz  
Serial No. : 09/747,506  
Filed : December 22, 2000  
For : DIGITAL COMPUTER SYSTEM AND METHODS FOR  
IMPLEMENTING A FINANCIAL TRANSACTION  
Group Art Unit : 2131  
Examiner :

Honorable Commissioner of Patents  
and Trademarks  
Washington, D.C. 20231

**TRANSMITTAL LETTER**

SIR:

Transmitted herewith for filing in the above-identified patent application are is the following:

1. Response to Notice to File Corrected Application Papers;
2. Notice to File Corrected Application Papers;
3. Formal Drawings, Sheets 1-13, Figures 1-13, previously filed on March 7,

2001;

4. A copy of the PTO stamped post card showing receipt of formal

drawings; and

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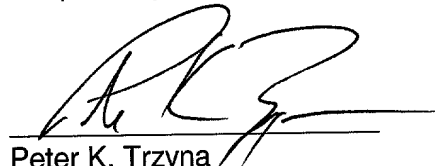
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5. Substitute specification pages 1-41; and
6. Submission of Formal Drawings.

The Commissioner is hereby authorized to charge any fees associated with the above-identified patent application or credit any overcharges to Deposit Account No. 50-0235.

Please direct all correspondence to the undersigned at the address given below.

Respectfully submitted,



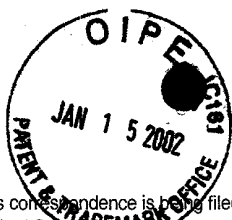
Peter K. Trzyna  
(Reg. No. 32,601)

Date: January 9, 2002

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Chicago, IL 60680-7131

(312) 240-0824

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20570 9054460



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PATENT

Paper No. 4

File: Schutz-P1-00

Date: January 9, 2002

Signed:

Peter K. Trzyna (Reg. No. 32,604)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Inventor : Jared P. Schutz  
Serial No. : 09/747,506  
Filed : December 22, 2000  
For : DIGITAL COMPUTER SYSTEM AND METHODS FOR  
IMPLEMENTING A FINANCIAL TRANSACTION  
Group Art Unit : 2131  
Examiner :

Honorable Commissioner of Patents  
and Trademarks  
Washington, D.C. 20231

**RESPONSE TO NOTICE TO  
FILE CORRECTED APPLICATION PAPERS**

SIR:

On November 20, 2001, the PTO mailed a Notice to File Corrected Papers in the above-identified application. The Notice to File Corrected papers states that the specification pages do not comply with size requirements. Enclosed please find substitute specification pages 1-41.

The Notice to File Corrected Papers also states that substitute drawings need to be filed. On March 7, 2001, formal drawings (Figs. 1-13, sheets 1-13) were filed with the PTO. Enclosed is a copy of the PTO stamped postcard acknowledging receipt of the Formal drawings, as well as a copy of the formal drawings previously filed.

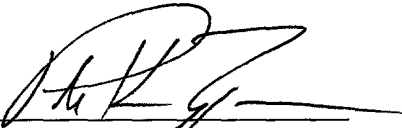
The Notice to File Corrected Papers also requires a statement be filed stating that the formal drawings contain no new matter. Enclosed is a Submission of Formal Drawings prepared in the above-identified application, and in both the formal drawings and substitute specification, it is believed that no new matter has been added.

The Commissioner is hereby authorized to charge any fees associated with the above-identified patent application or credit any overcharges to Deposit Account No. 50-0235.

Please direct all correspondence to the undersigned at the address given below.

Respectfully submitted,

Date: January 9, 2002

  
Peter K. Trzyna  
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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/747,506	12/22/2000	Jared P. Schutz	Schutz-P1-00

CONFIRMATION NO. 8671

## FORMALITIES LETTER



\*OC000000007100650\*

Peter K. Trzyna  
P.O. Box 7131  
Chicago, IL 60680

Date Mailed: 11/20/2001

## NOTICE TO FILE CORRECTED APPLICATION PAPERS

*Filing Date Granted*

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52 because:
  - The specification cannot be scanned or properly stored. Page(s)
    - 1 - 37 do not comply with the size requirements (8 ½ by 11 inches or 21.0 by 29.7 cm). *The drawings filed are unacceptable because:*  
*Replacement page(s), together with a statement that the replacement page(s) contain no new matter, are required.*
- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
  - Drawings must be reasonably free from erasures and must be free from alterations, overwritings, interlineations, folds, and copy marks.

*A copy of this notice **MUST** be returned with the reply.*

09747506-01403

MD

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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